

PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	ANSMITTAL LETTER TO	ATTORNEY'S DOCKET NUMBER PD-202021						
	DESIGNATED/ELECTED OF ICERNING A SUBMISSION	U.S. APPLICATION NO. 71 (7002) 50 8 2 (1.5)						
	TIONAL APPLICATION NO.	INTERNATIONAL FILING DATE 15 October 2003	PRIORITY DATE CLAIMED 25 October 2002					
	ACCORDING TO A	PARATUS FOR TAILORING CA AVAILABILITY INLLAYERED	RRIER POWER REQUIREMENTS MODULATION SYSTEMS					
APPLICANT(S) FOR DO/EO/US Ernest C. Chen, Paul R. Anderson and Joseph Santoru								
	Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
1. XX	1. XX This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.							
2.	This is a SECOND or SUBSEQUENT sub	bmission of items concerning a submission	n under 35 U.S.C. 371.					
3.	This is an express request to begin natior (5), (6), (9) and (21) indicated below.	nal examination procedures (35 U.S.C. 37	1(f)). The submission must include items					
4. XX	The US has been elected (Article 31).							
5. XX	A copy of the International Application a	as filed (35 U.S.C. 371(c)(2))	•					
	a. is attached hereto (required o	only if not communicated by the Internation	nal Bureau).					
	b. has been communicated by the	he International Bureau.						
	c. XX is not required, as the applica	ation was filed in the United States Receivi	ng Office (RO/US).					
6.	An English language translation of the	International Application as filed (35 U.S.C	C. 371(c)(2)).					
	a. is attached hereto.	•						
	b. has been previously submitte	ed under 35 U.S.C. 154(d)(4).						
7. XX	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))							
	a. are attached hereto (require	ed only if not communicated by the Internal	tional Bureau).					
	b. have been communicated by	y the International Bureau.						
	c. have not been made; however, the time limit for making such amendments has NOT expired.							
	d. XXI have not been made and wi	Il not be made.						
8. 🗀	An English language translation of the	amendments to the claims under PCT Art	ticle 19 (35 U.S.C. 371(c)(3)).					
9. XX	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).							
10.	An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	annexes of the International Preliminary E	xamination Report under PCT					
Items	11 to 20 below concern document(s)	or information included:	·					
11. XX	An Information Disclosure Statement u	nder 37 CFR 1.97 and 1.98.						
12. XX	An assignment document for recording	. A separate cover sheet in compliance wi	th 37 CFR 3.28 and 3.31 is included.					
13.	A preliminary amendment.							
14. 🗀	An Application Data Sheet under 37 CF	FR 1.76.						
15. 🖵	A substitute specification.	•						
16. 🗌	A power of attorney and/or change of a	address letter.						
17.	A computer-readable form of the seque	ence listing in accordance with PCT Rule 1	3ter.2 and 37 CFR 1.821- 1.825.					
18. 🔲	A second copy of the published Interna	ational Application under 35 U.S.C. 154(d)	(4).					
19.	A second copy of the English language	e translation of the international application	under 35 U.S.C. 154(d)(4).					
20.	Other items or information:							

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the complete form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CER 15) INTERNATIONAL APPLICATION NO.					-	ATTORNEY'S DOCKET NUMBER		
10:00007032731					_	-202021		
The following fees have been submitted						CULATIONS	PTO USE ONLY	
21. 🕅 Basi	ic natio	nat fee			\$300	\$	300.00	
22. X Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)					\$100	\$	100.00	
23. X Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority					\$	100.00		
	ΤΩΤΑ	L OF 21, 22	and 23 =			\$	500.00	
sequence lis	e for sp sting or	pecification : r computer p	and drawings file program listing fil	ed in paper over 100 sheets (e led in an electronic medium). of paper or fraction thereof.	xcluding			
Total Sheets								
- 100 =		/50 =		_	× \$250	\$		
Surcharge of \$13 claimed priority of				laration later than 30 months f	rom the earliest	\$		
CLAIMS		NUMB	ER FILED	NUMBER EXTRA	RATE	\$		
Total claims		37	7 - 20 =	17	× \$ 50	\$	850.00	
Independent clai	ms	(5 - 3 =	3	× \$200	\$	600.00	
MULTIPLE DEP	ENDE	NT CLAIM(S) (if applicable)		+ \$360	\$		
				TOTAL OF ABOVE	CALCULATIONS =	\$	1450.00	
Applicant cla	aims sn	nall entity st	atus. See 37 CF	R 1.27. Fees above are reduce	ced by 1/2.			
					SUBTOTAL =	\$	1950.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					ths from the earliest +	\$		
				TOTAL	NATIONAL FEE =	\$ 1950.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$	40.00		
				TOTAL F	EES ENCLOSED =	\$ 1990.00		
						Amount to be refunded:		\$
							unt to be ged:	\$
1	ck in the	e amount of	\$	to cover the abo	ove fees is enclosed.	<u> </u>		-
b. A Please charge my Deposit Account No. 50-0383 in the amount of \$ 1990.00 to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0383. A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the international Application to pending status.								
SEND ALL CORRESPONDENCE TO:								
The DIRECTV Group, Inc.						17	ww_	
RE/R11/A109						Ś. (Grunebach	
El Sēgun			15		NAME			· · · · · · · · · · · · · · · · · · ·
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Ernest C. Chen, Paul R. Anderson and Joseph Santoru

Docket No.:

PD-202021

Title:

METHOD AND APPARATUS FOR TAILORING CARRIER POWER REQUIREMENTS

ACCORDING TO AVAILABILITY IN LAYERED MODULATION SYSTEMS

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

Express Mail mailing label number: EU433761972US

Date of Deposit: April 25, 2005

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to Mail Stop PCT, Commissioner for Patents, P. Ø. Box 1450 Alexandria VA 22313-1450.

By:

Georgann S. Grunebach, Reg. No. 33,179

MAIL STOP PCT

Commissioner for Patents

P. O. Box 1450

Alexandria VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

- Transmittal sheet, in duplicate, containing Certificate of Mailing Under 37 CFR 1.10.
- X Form PTO-1390: TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371.
 - The fee has been calculated as shown below in the "Claims as Filed" table.
- \boxtimes An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- \boxtimes Copy of Declaration of Inventorship as filed in PCT/US03/032751.
- \boxtimes Copy of Assignment and Assignment Recordal Cover Sheet.
- \times A charge to Deposit Account in the amount of \$1950.00 to cover the Total National Fee and \$40.00 to cover the Assignment Recordal Fee (Total Fees: \$1990.00)
- X Return postcard.

CLAIMS AS FILED

Number of Claims Filed	In Excess of:	Ī	Number	1	Rate		Fee
rumoer of Claims Fried	III Excess of.		l	1	Raic		Tec
			Extra				
Basic National Stage Fee							\$300.00
National Stage Search Fee-US was ISA							\$100.00
National Stage Examination Fee-US was IPEA,							\$100.00
and all claims satisfy PCT Article 33(1)-(4)							
Total Claims							
37	20	=	17	x	\$50.00	=	\$850.00
Independent Claims							
6	3	=	3	x	\$200.00	=	\$600.00
MULTIPLE DEPENDENT CLAIM FEE							
TOTAL NATIONAL FEE							\$1950.00

FEE FOR RECORDAL OF ASSIGNMENT	\$40.00

- 1	<u></u>	
	TOTAL FEES TO CHARGE TO DEPOSIT ACCOUNT	61000 00
	I TOTAL FEES TO CHARGE TO DEPOSIT ACCOUNT	\$1990.00

10/532582 JC12 Rec'd PCT/PTC 25 APR 2005

Please charge all fees to Deposit Account No. 50-0383 of The DIRECTV Group, Inc. (formerly Hughes Electronics Corporation), El Segundo, California. A duplicate of this sheet is enclosed.

By:

Georgann S. Granebach

Reg. No. 33,179

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El Segundo, CA 90245

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